

Express Mail Label No. EK005148621US  
Date of Deposit: August 9, 2001

08-10-01

*4 Sector*  
PATENT APPLICATION  
Attorney Docket No.: 19904-008



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Rouquier *et al.*  
SERIAL NUMBER : 09/747,155  
FILING DATE : December 21, 2000  
FOR : NOVEL POLYPEPTIDES AND NUCLEIC ACIDS ENCODING SAME

EXAMINER : Not Yet Assigned  
ART UNIT : 1645

August 9, 2001  
Boston, Massachusetts

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Sir:

Transmitted herewith for filing in the above-identified application are the following documents:

- Response to Notice to File Missing Parts and Notice to Comply with Sequence Listing Requirements (2 pgs.);
- Executed Combined Declaration and Power of Attorney (3 pgs.);
- Preliminary Amendment (2 pgs.);
- Sequence Listing (384 pgs.);
- One (1) Diskette;
- Statement in Support of Computer Readable Form Submission (1 pg.);
- Copy of Notice to File Missing Parts (2 pgs.);
- Petition for Extension of Time (1 pg., in duplicate);
- Two Checks: check # 9763 for \$130.00; check # 9764 for \$890.00;
- Limited Recognition Under 37 CFR § 10.9(b); and
- Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at 617-542-6000, Boston, Massachusetts. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Attorney Ref. No. 19904-008. A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,

  
Ivor R. Elrifi, Reg. No. 39,529  
Michel Morency, Limited Recognition  
Attorneys for Applicants  
c/o MINTZ, LEVIN  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS AND NOTICE TO  
COMPLY WITH SEQUENCE LISTING REQUIREMENT UNDER 37 CFR  
§§ 1.821 - 1.825**

Sir:

In response to the Notice To File Missing Parts mailed March 9, 2001, Applicants submit herein an executed Inventor Declaration, payment of the surcharge for late filing (\$130.00) set forth in 37 C.F.R. §1.16(e), a copy of the Notice to File Missing parts, and a Response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures. Applicants also file a Preliminary Amendment in order to incorporate the Sequence Listing, filed herewith, into the U.S.S.N. 09/747,155 patent application. Applicants concurrently submit herewith an initial computer readable form (CFR) copy of the "Sequence Listing" and a statement that the content of the paper and computer readable copies are the same and include no new matter, in compliance with 37 C.F.R. §§ 1.821-1.825.

Applicants have also filed concurrently a petition for a three-month extension of time with a check to cover the \$890.00 extension fee pursuant to 37 C.F.R. §1.17(a)(3). With the extension, the Response is due on or before August 9, 2001.

Applicants: Rouquier  
U.S.S.N.: 09/747,155

No additional fees are believed to be due. However, the Commissioner is authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Attorney Reference No. 19904-008.

Respectfully submitted,

Date: August 9, 2001

  
Ivor R. Elrifi, Reg. No. 39,529  
Michel Morency, Limited Recognition  
Attorneys for Applicants  
c/o MINTZ, LEVIN  
One Financial Center  
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TRA 1552937v1

UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE

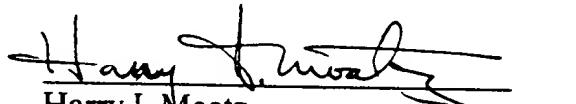


**LIMITED RECOGNITION UNDER 37 CFR §10.9(b)**

Michel Morency is hereby given limited recognition under 37 CFR §10.9(b), as an employee of Mintz, Levin, Cohn, Ferris, Glovsky & Popeo, P.C., to prepare and prosecute patent applications and to represent patent applicants wherein the patent applicants are clients of Mintz, Levin, Cohn, Ferris, Glovsky & Popeo, P.C., and wherein a registered practitioner who is a member of Mintz, Levin, Cohn, Ferris, Glovsky & Popeo, P.C. is the attorney or agent of record. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Michel Morency ceases to lawfully reside in the United States; (ii) Michel Morency's employment with the Mintz, Levin, Cohn, Ferris, Glovsky & Popeo, P.C., law firm ceases or is terminated; or (iii) Michel Morency ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: January 31, 2002**

  
Harry I. Moatz  
Director of Enrollment and Discipline

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Attorney Reference No.: 19904-008

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COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,155	12/21/2000	Sylvie Rouquier	19904-008



MILNER, LEVIN, COHN, FERRIS,  
 GLOVSKY and POPEO, P.C.  
 One Financial Center  
 Boston, MA 02111

CONFIRMATION NO. 9730

## FORMALITIES LETTER



\*OC000000005843579\*

Date Mailed: 03/09/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

08/13/2001 BSAYASI1 00000104 09747155

FILED UNDER 37 CFR 1.53(b)

01 FC:105

130.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice **MUST** be returned with the reply.*

*Hulmonsey Tardie*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE